

# Hypnosis and the Relief of Chronic Breathlessness

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# MANAGING BREATHLESSNESS

- definition & incidence
- genesis – phenomenon of the mind
- outline of current management
- ‘integrated hypnosis’ – case histories
- review of non-pharmacological interventions and hypnosis
- using a *Breathing, Thinking, Functioning* approach

## BREATHLESSNESS IS A PHENOMENON OF THE MIND

“.....it is an *unpleasant* type of breathing, though it is not painful in the usual sense of the word. It is *subjective* and, like pain, it involves *both* the *perception* of the sensation by the patient and *his reaction to the sensation*”  
(Comroe, 1966:3).

## DEFINITIONS

“chronic breathlessness syndrome”, is defined as  
“*breathlessness that persists despite optimal treatment of the underlying pathophysiology and that results in disability.*”

Johnson et al, 2017 ERJ

# CHRONIC BREATHLESSNESS: A SUITABLE CASE FOR TREATMENT

- **Why?** common, distressing, *potentially 'prognosis-altering,'* significant cost to health services/patients in unscheduled *futile* use of medical services
- **Who?** those troubled by *chronic breathlessness* in spite of diagnosis of & optimal medical treatment of the underlying condition
- **When?** as early as possible, potential to improve medical outcomes
- **How?** mostly non-pharmacological treatments in the mobile patient
- **Where?** depends on stage of illness & facilities available – most treatment needs to be at home and will take time.

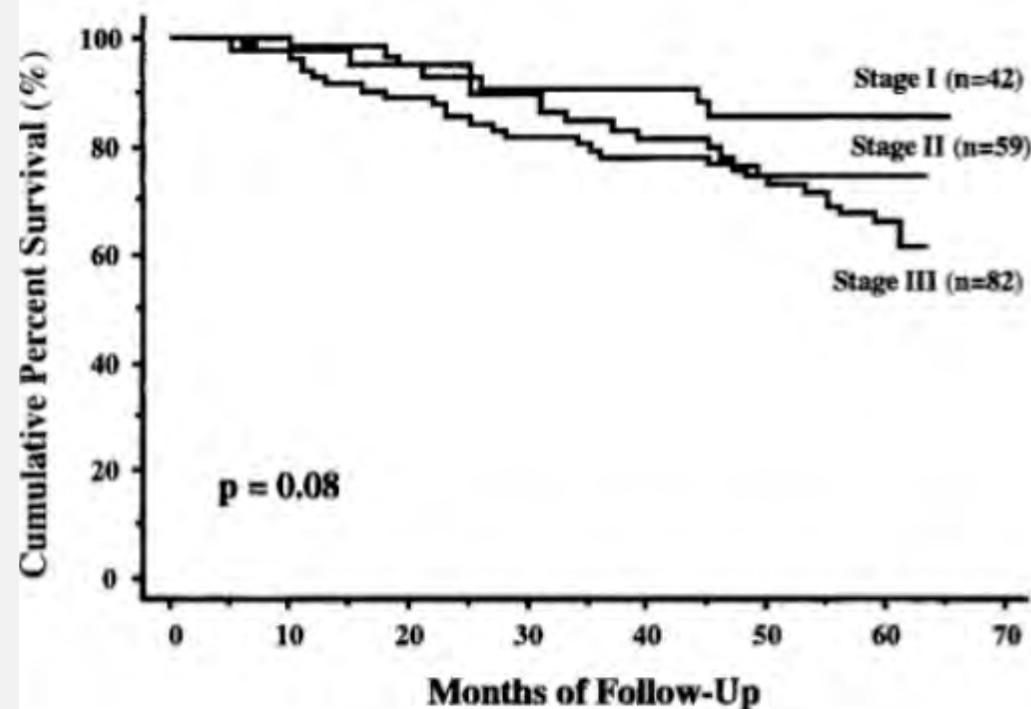
# STATISTICS FOR UK

- Lung disease affects 1 in 5 people in UK
- Breathlessness affects 2 million people in UK
- 550 000 lung disease new diagnoses per annum
- Estimated NHS cost of £9 billion per annum

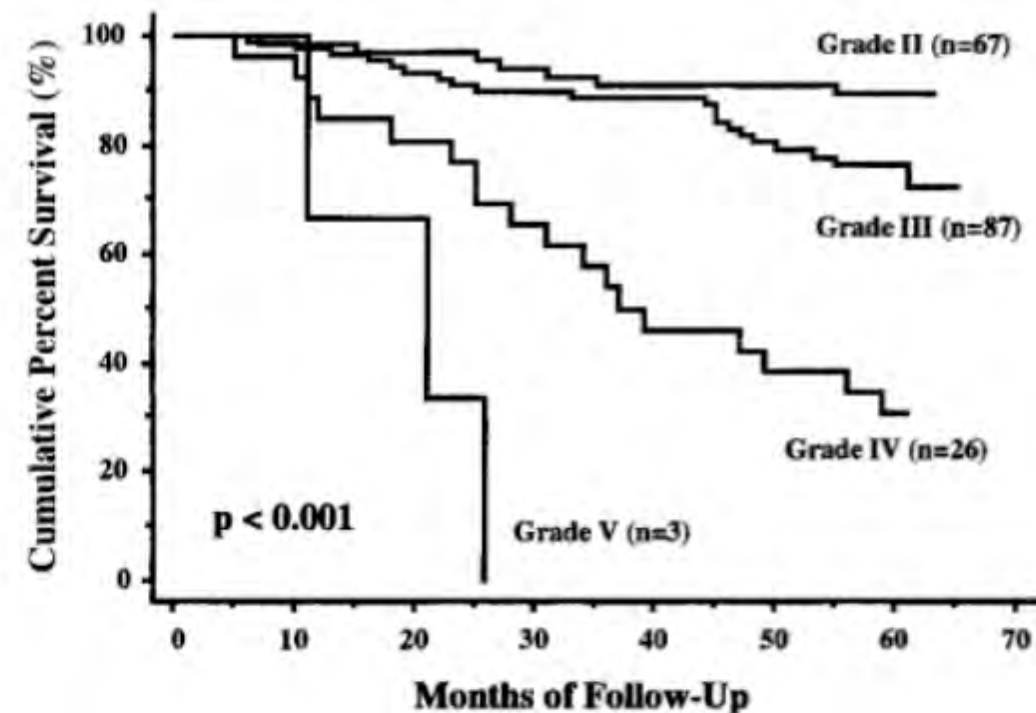
British Lung Foundation. Estimating the economic burden of respiratory illness in the UK, 2017:<https://www.blf.org.uk/what-we-do/our-research/economic-burden>

Royal College of Physicians. National COPD primary care audit 2014–15 national report (Wales). 2017

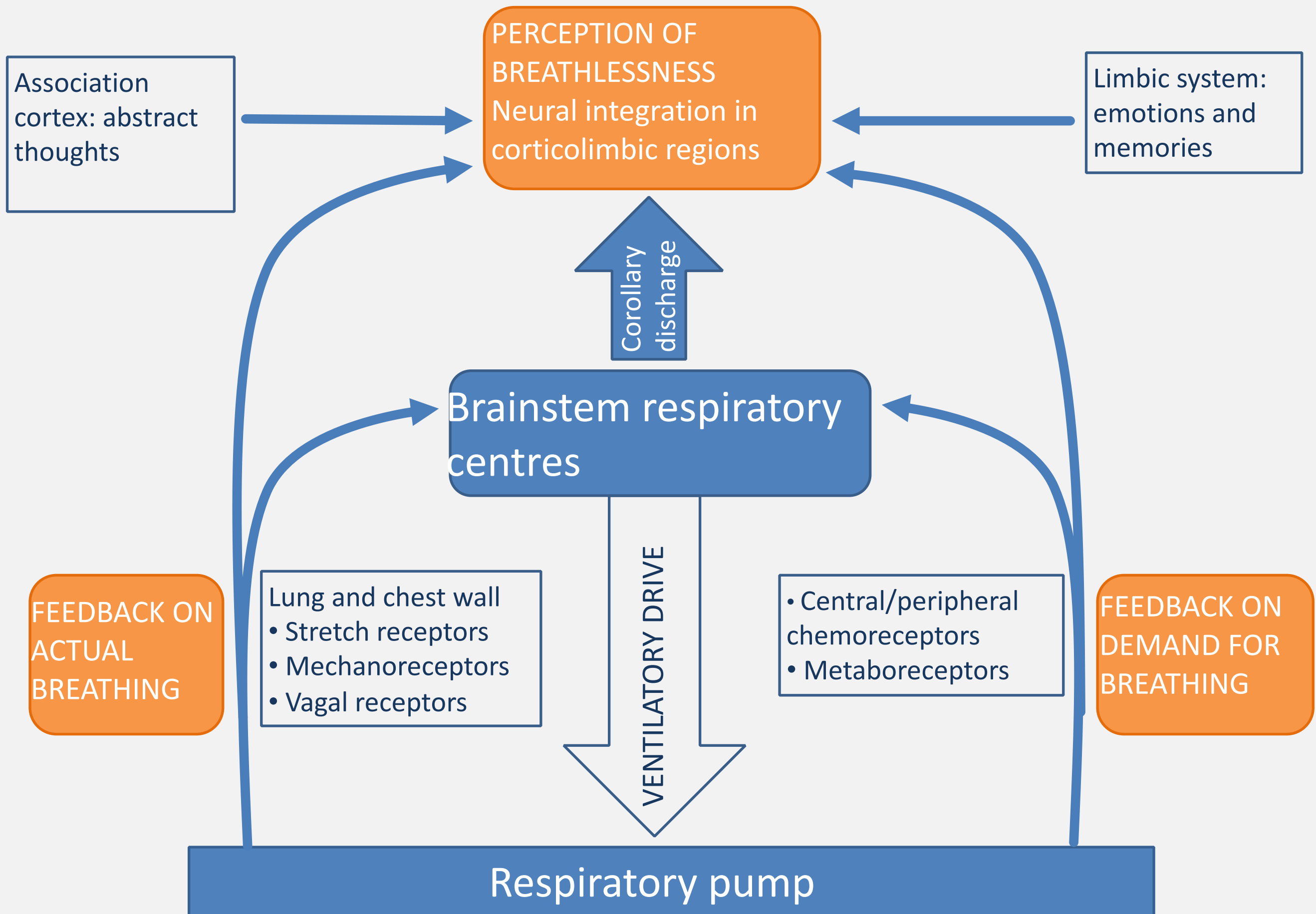
# Dyspnea Is a Better Predictor of 5-Year Survival Than Airway Obstruction in Patients With COPD\* *(CHEST 2002; 121:1434-1440)*



5-year survival  
according to FEV1



5-year survival according to  
MRC dyspnea scale





# NEUROPHYSIOLOGY OF BREATHLESSNESS

Imaging confirms that breathlessness is generated: ***in the brain***

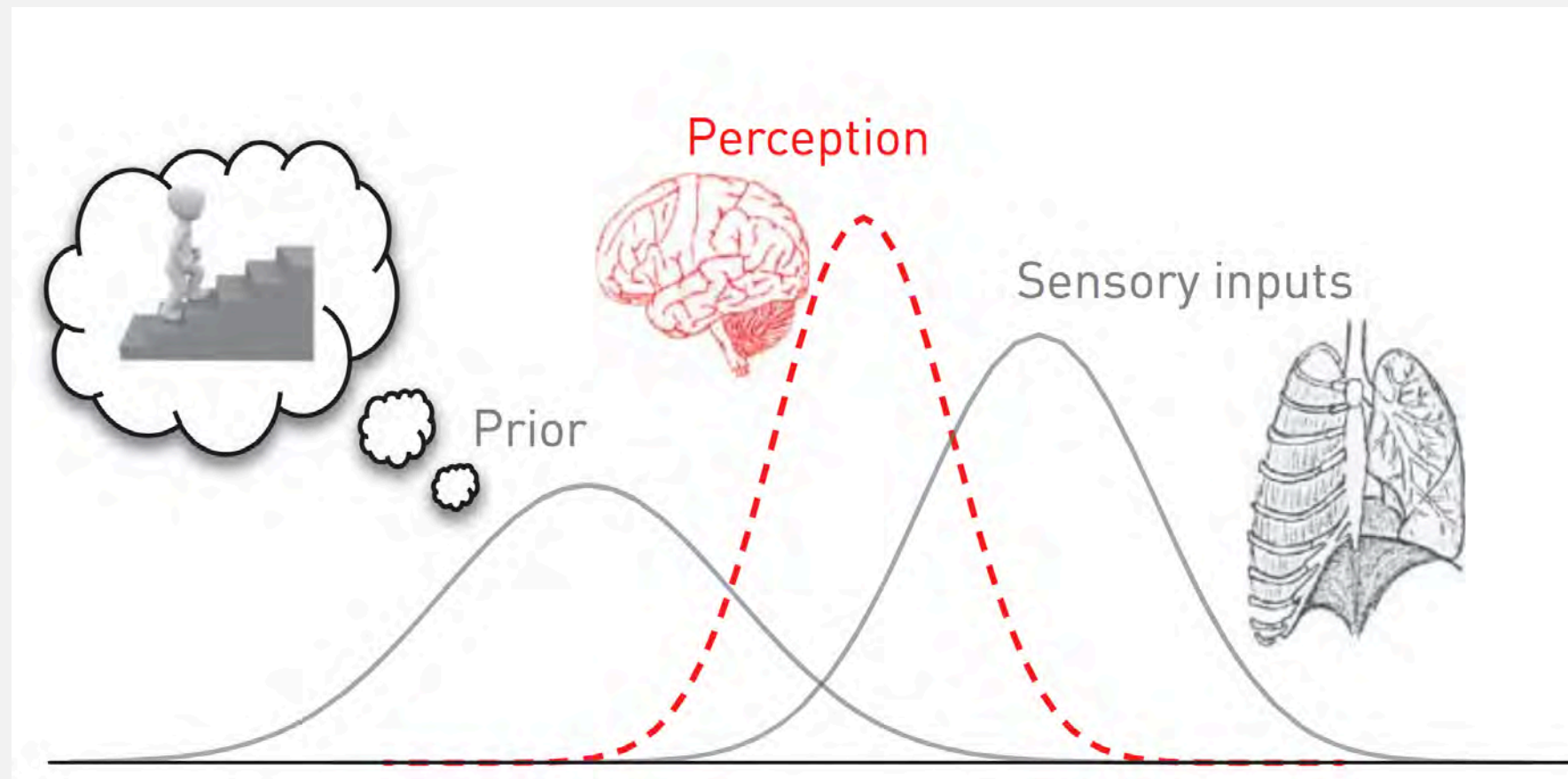
*‘These have found consistent activation in the **insular cortex, the anterior cingulate cortex and the amygdala.**’*

“Dyspnoea and the brain” Respiratory Medicine, *Herigstad et al* , 2011

*Breathlessness and the Brain, Booth et al, 2015*

# BAYESIAN BRAIN HYPOTHESIS

FAULL ET AL, 2017



# SYMPTOMS

- Peripheral generators
- Central processors
- Both offer approaches to amelioration
- ‘Priors’ may act as triggers/exacerbators

# PERCEIVING BREATHLESSNESS

“...a *subjective experience* of breathing discomfort that consists of ***qualitatively distinct sensations*** that vary in intensity. The experience derives from interaction among multiple *physiologic, psychological, social, and environmental factors* and may induce secondary physiological and behavioural responses”

(ATS, 1999a:322, updated 2012).

## SYMPTOM PERCEPTION, PLACEBO EFFECTS & BAYESIAN BRAIN

**Biomedical models (brain = stimulus conversion organ) do not explain:**

- Experience of symptoms without pathophysiological disruption
- Experience of relief after placebo treatments

Ongaro & Kaptchuk, PAIN, January 2019; 160; 1:1- 4

# ‘PERCEPTION IS COGNITIVELY MODULATED...’

.....Mostly ‘nonconsciously’

‘might best be viewed as a process of prediction, based on an integration of *sensory inputs, prior experience and contextual cues.*’

ALL SYMPTOMS

Associated with  
an activated  
inflammatory  
response

TAWAKOL *ET AL*, *THE LANCET* 2017;389:834-845

**293** patients (median age 55 years [IQR 45·0–65·5]) ..included in longitudinal study, 22 ...had a cardiovascular disease event during median follow-up of 3·7 years (IQR 2·7–4·8). **Amygdalar activity ...associated with increased bone-marrow activity** ( $r=0·47$ ;  $p<0·0001$ ) **arterial inflammation** ( $r=0·49$ ;  $p<0·0001$ ), and risk of cardiovascular disease events (standardised hazard ratio 1·59, 95% CI 1·27–1·98;  $p<0·0001$ ), a finding that remained significant after multivariate adjustments.



TAWAKOL ET AL, 2017

*“The association between  
amygdalar activity and  
cardiovascular disease events  
seemed to be mediated by  
increased bone-marrow activity  
and arterial inflammation.”*

# TAWAKOL *ET AL*, 2017

Relationship between resting amygdala activity and cardiovascular events: a longitudinal and cohort study

**‘...our findings raise the possibility that efforts to attenuate psychosocial stress could produce benefits that extend beyond an improved sense of psychological wellbeing...’**

The Lancet 2017; 389: 834–45

# PSYCHONEUROIMMUNOLOGY, DARUNA 2012

- The brain and CNS
- The endocrine system
- The immune system

All work together and affect each other

‘ It is clear that disease begins within organismic microenvironments and that complexity is not diminished by progressively narrowing the focus of analysis. ’

# HYPNOSIS AND DYSPNEA

**Current clinical trial in Paris**, 20 people but includes healthy volunteers, awaiting more information, finished recruiting 20 subjects in 2018

**Anbar et al, 2006** – paediatric patients, dyspnea with normal lung function.

- Retrospective chart review of children receiving hypnosis
- 17 children, mean age 13.4 years (range 8-18 years)
- 1-2 sessions 15
- Imagery of lung used *unhealthy to healthy*

# MANAGING BREATHLESSNESS: GENERAL PRINCIPLES - A **COMPLEX INTERVENTION**

- 1. Non pharmacological measures**
2. Opioids
3. Oxygen - not for palliation of breathlessness
4. Other drugs e.g. antidepressants
5. Manage other symptoms
- 6. Carer support**

# Is a specialist breathlessness service more effective and cost-effective for patients with advanced cancer and their carers than standard care? Findings of a mixed-method randomised controlled trial.

Morag C Farquhar, A Toby Prevost, Paul McCrone, Barbara Brafman-Price, Allison Bentley, Irene J Higginson, Chris J Todd and Sara Booth

► [Author Affiliations](#)

For all author emails, please [log on](#).

*BMC Medicine* 2014, **12**:194

doi:10.1186/s12916-014-0194-2

Published: 31 October 2014

## Results

BIS reduced patient distress due to breathlessness (primary outcome:  $-1.29$ ; 95% CI  $-2.57$  to  $-0.005$ ;  $P = 0.049$ ) significantly more than the control group; 94% of respondents reported a positive impact (51/53). BIS reduced fear and worry, and increased confidence in managing breathlessness. Patients and carers consistently identified specific and repeatable aspects of the BIS model and interventions that helped. How interventions were delivered was important. BIS legitimised breathlessness and increased knowledge whilst making patients and carers feel 'not alone'. BIS had a 66% likelihood of better outcomes in terms of reduced distress due to breathlessness at lower health/social care costs than standard care (81% with informal care costs included).

## Conclusions

BIS appears to be more effective and cost-effective in advanced cancer than standard care.

# ASSESSMENT

- Establish credentials and interest in symptom (Booth et al, 2001)

- **Listen**

- The experience and impact of breathlessness for patient
- Pattern of breathlessness
- Rapidly progressive or relatively stable disease?
- Breathlessness on exertion only or at rest?
- Quantify e.g D12 Yorke et al, 2011.
- Comprehensive symptom status
- Assess carer's needs and available support network.



# NON-PHARMACOLOGICAL CORE INTERVENTIONS

1. Education & information
2. Use of hand-held fan
3. Encouraging exercise
4. Activity pacing
5. Positioning
6. Recovery breathing
7. Relaxed breathing
8. Anxiety Management / relaxation
9. Detection and treatment of depression
10. Managing chronicity - attenuating inflammatory response
11. Support for carers

**Assessment is crucial**



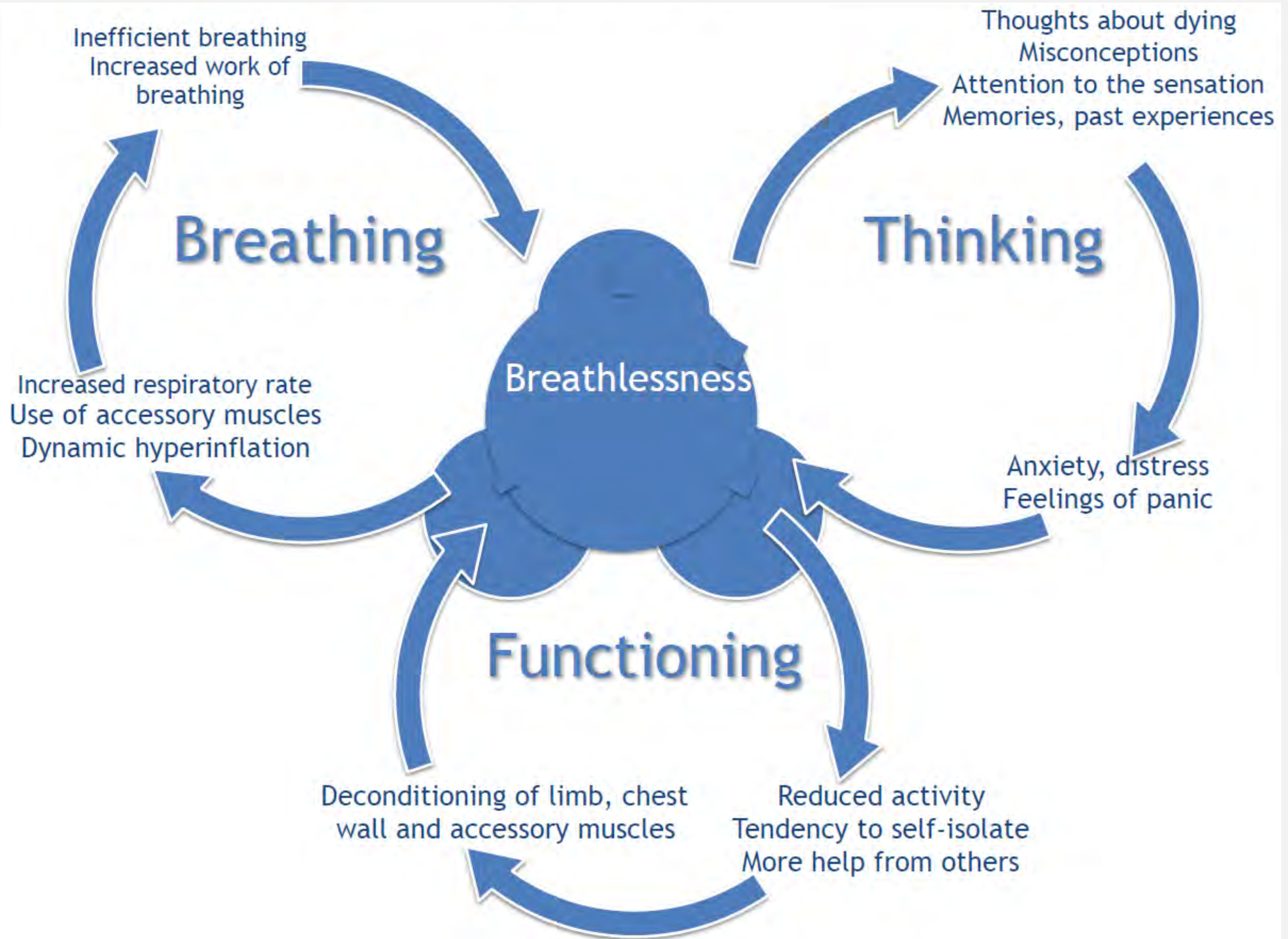
# IS THERE 'EPISODIC BREATHLESSNESS?'

- Episodic breathlessness 'a short period of severe breathlessness that occurs mainly daily and impairs patients' quality of life by limiting activity and causing panic and fear.'

*Simon et al, 2012, Simon et al, 2013, Linde et al, 2018*

- Usually a trigger – emotion – panic
- Feels 'out of the blue' and therefore more threatening

Manage the trigger

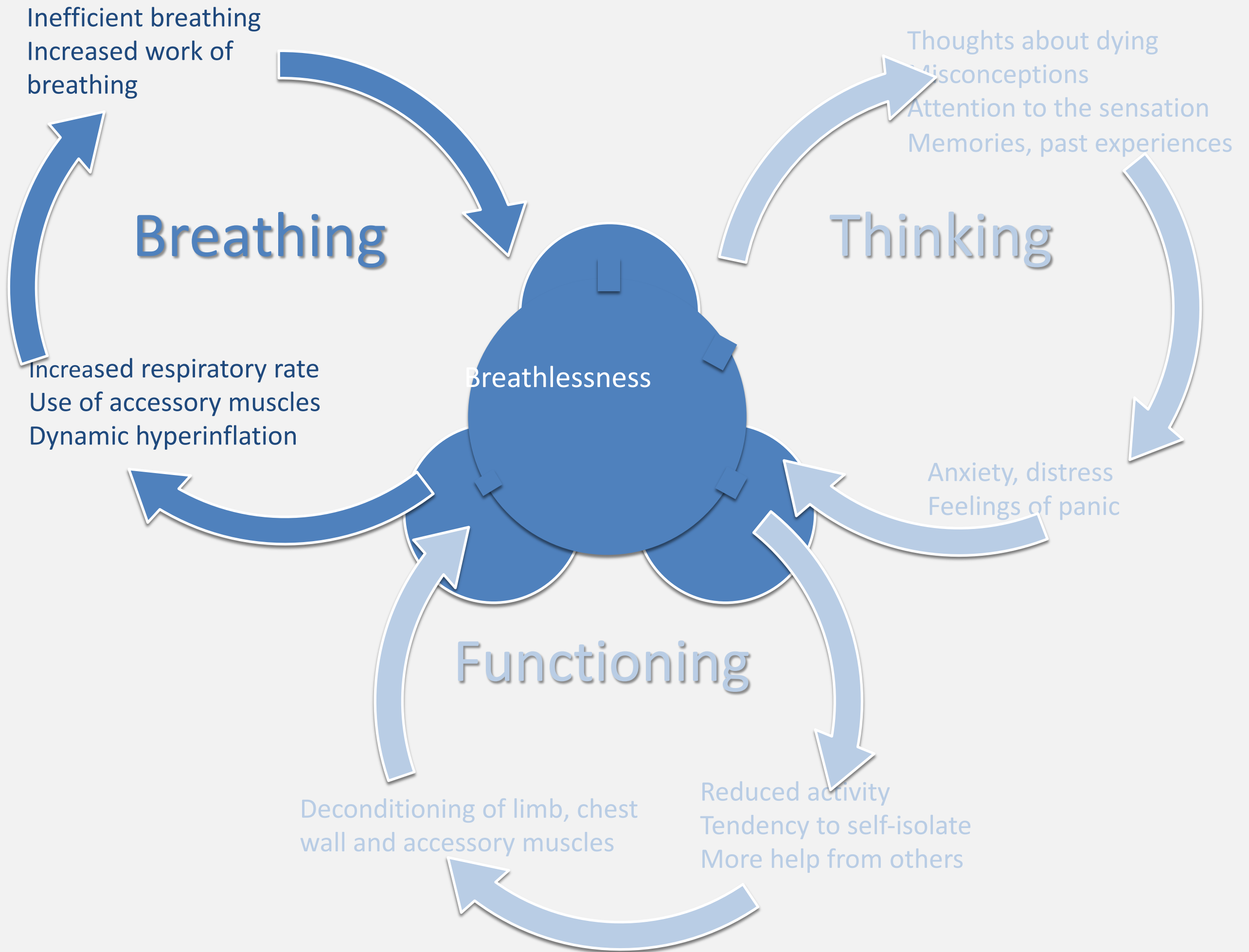


## ASSESSMENT IS KEY

- Assessment can be therapeutic
- Think about what asking and saying carefully
- See 'dyads' separately
- Remember reliving what experiencing when talk, don't reinforce

# ASSESSMENT

- Listen
- Show you are listening
- Act on what you hear



# BREATHING CYCLE

**Modifying mechanisms of *peripheral* genesis**

## Breathing training

- expiration techniques (rectangle, candle imagery, pursed lip)
- positioning
- diaphragmatic breathing
- pacing and prioritising
- stair/walking breathing

# BREATHING CYCLE

## **Modifying mechanisms of peripheral genesis**

### **Skeletal muscle exercise – *Be Active***

- inspiratory muscle training
- quadriceps aerobic training
- neuro-muscular electrical stimulation

# BREATHING CYCLE

## **Modifying mechanisms of peripheral genesis**

### Cool facial air flow

- Battery operated hand held fan
- Cool water spray



## OUTLINE

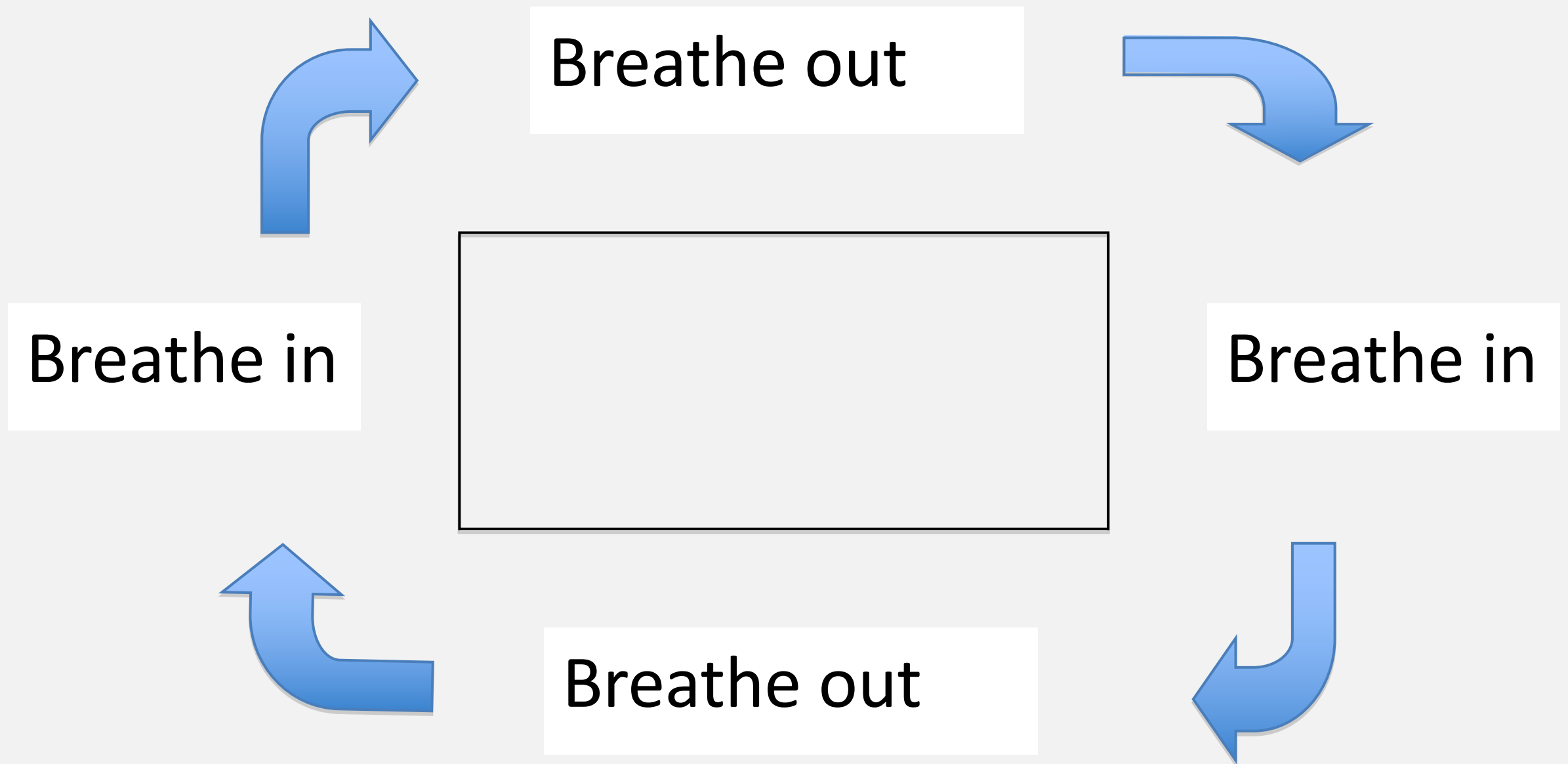
- Breathing control
- Diaphragmatic breathing
- Recovery breathing

# Breathe round a square



- Breathe in on the corners
- Breathe out along the edges

# Breathe round a rectangle



# BREATHING RETRAINING DIRECTIONS

- Posture
- Relax body & mind
- ‘Feel the breath’
- Notice tummy rise & fall
  - Float air in
  - Relax breath out
- Gentle breaths, quiet
- Notice natural pause
- Rest in the pause

Relax accessory muscles,  
tune into breathing

Focus on diaphragm  
movement, deflate to  
lower lung volumes

Relax flow & tidal volume

Reduce resp. rate

*(Booth et al, 2013)*



# **RECOVERY BREATHING**

# RECOVERY BREATHING

*Obstructive lung condition (i.e. COPD, asthma)*

Fan

Forward lean

Focus on long breaths out  
through pursed lips



*(Booth et al, 2013)*

# RECOVERY BREATHING

***Restrictive*** lung condition (i.e. ILD, pulmonary fibrosis)  
or cancer

**F**an

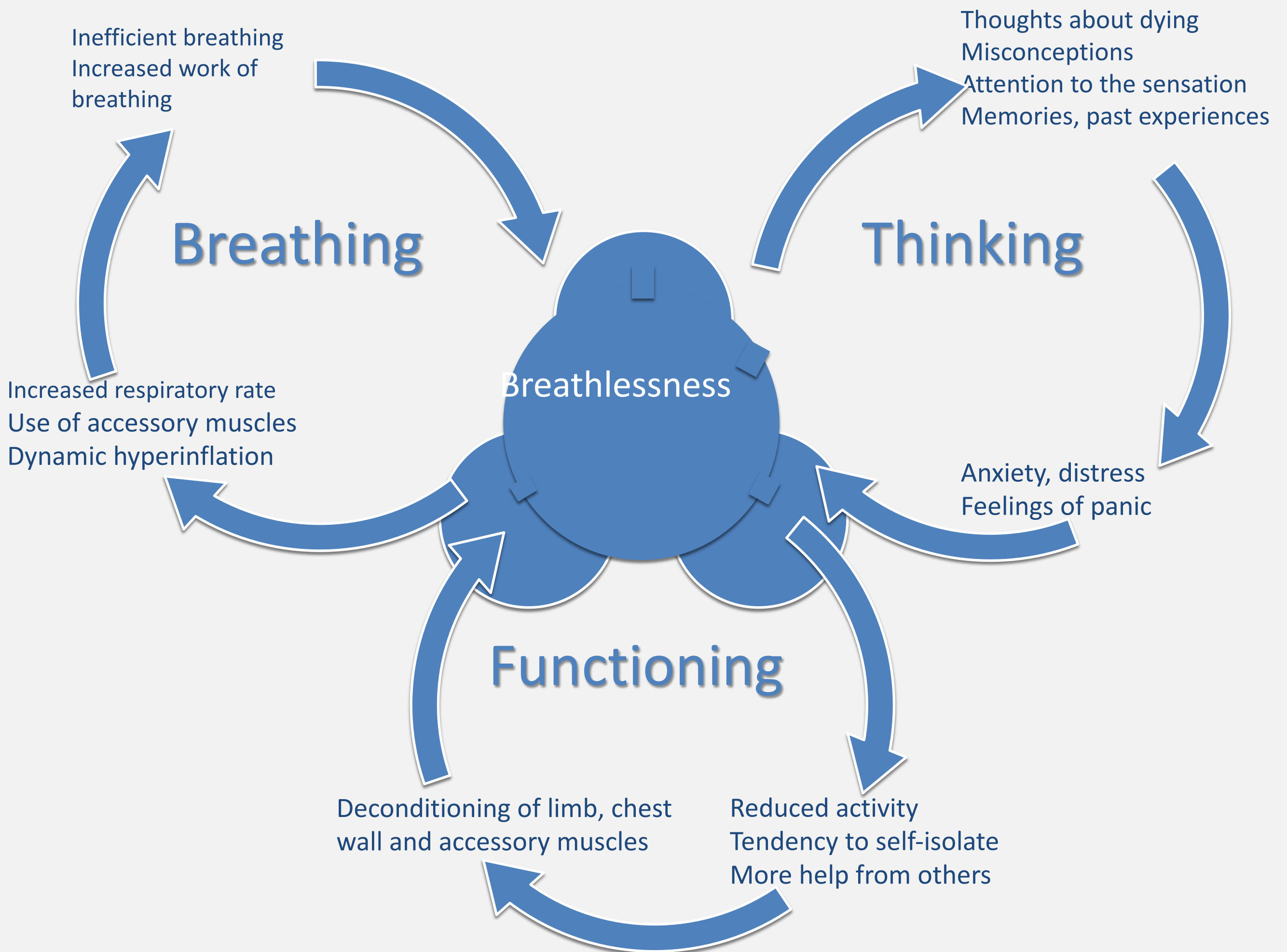
**D**rop shoulders

**F**ocus on relaxed breaths out



*(Booth et al, 2013)*





# SCHWARTZSTEIN *ET AL*, 1987

## Use of fans

Addenbrooke's **NHS**  
NHS Trust



Cold facial stimulation reduces breathlessness induced in normal subjects

American Review of Respiratory Diseases 136 (1) 58 - 61

# BREATHLESSNESS: THE FAN *REDUCES* *SENSATION OF BREATHLESSNESS* IN ADVANCED DISEASE

- Cross-over RCT: 49 patients analysed
- 23 male
- Mean age 71.3 years (33-90 years)
- Fan to face & fan to leg
- Outcome measure: change 1 cm in breathlessness on VAS

**p=0.03**

***‘fan had a ‘carry over effect’***

*Galbraith et al, 2010 JPSM*

*Booth et al, 2015 JPSM*

*Luckett et al, 2017 JPSM*

# THE FAN: A COMPLEX INTERVENTION ITSELF

- cheap therefore have several to support different activities
- portable
- easily - explained - *but needs explaining*
- increases self-efficacy where helplessness can be disabling
- safe
- easily obtained
- useful for carer to use in 'ritual for crisis' of episodic breathlessness

## BREATHING; IMAGERY

- Female, 70s, ILD (restrictive lung condition)
- Self-referral back to BIS team as family suggesting opioids
- Using breathing techniques e.g. relaxed shoulders, slow abdominal breathing, sips of water to reduce cough, fan, up-right positioning, nose breathing. ON oxygen 2 l/min

# INTEGRATED HYPNOTIC TECHNIQUE

- Fan, water, positioning helping
- Struggling with breathing techniques
- On higher dose of oxygen now

## **Assessment**

- Patient forcing breathing, trying too hard with stiff lungs,
- 'It's like breathing through concrete.'

# INTEGRATED HYPNOTIC TECHNIQUE

- Patient enjoyed ice-skating in childhood
- Lying propped up on sofa (position supportive of breathing), feeling ease of movement, shoulders relaxed, swinging arms loose by side, cool breeze on face, looked at breathing rectangle whilst experiencing ice-skating.
- CM drew attention to patient's effortless breathing –

‘This is all you need, allowing breathing to happen, let breathlessness pass...’

NB she had wooden floors and walked on stockinged feet, allowing herself to slide/rock slightly, with shoulders relaxed.

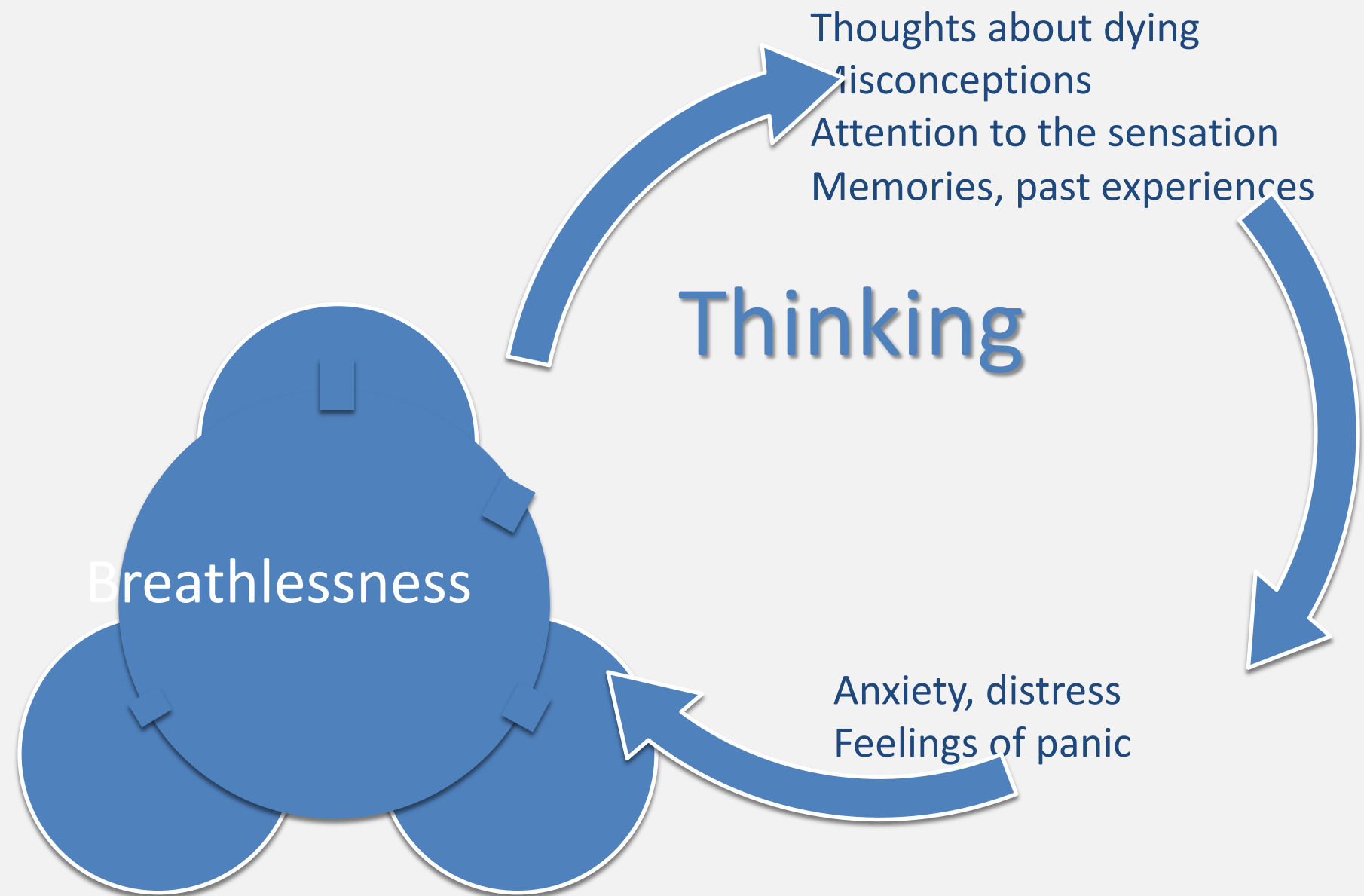
# INTEGRATED HYPNOTIC TECHNIQUE

## **Feedback from patient**

Key was relaxing the shoulders. Sliding feet room to room, water bottle in one hand for sips of water, fan in the other, imagining skating.

Note: Stayed off opioids until last few weeks of life, which pleased her.





# INTEGRATED HYPNOSIS

- Patient, 70s, male, severe COPD
- Needed NIV (non-invasive ventilation)
- Poor co-ordination with machine, puffs in panic
- Takes off mask and therefore not receiving treatment

**Action by CM**, going through SOB episode step by step

# INTEGRATED HYPNOSIS

## Ideas

- Not in room where previous bad experience
- Was able to use at night when sleepy – use distraction e.g. initially when getting sleepy, when wife in room, with music
- Use, ‘when it feels right, no pressure to do that day, just if it feels the right time, give it a go, no expectation, even a few breaths, just on and off and see how it goes.’

# ACTION PLAN FOR BREATHLESSNESS

*I have had this feeling before – I know it will go away soon*

1. I am going to use my fan
2. I am focusing on breathing out for longer, gradually longer and longer with each breath out
3. I am gently relaxing and dropping my shoulders a little more each time I breathe out

*I can do this – I am doing it now*

## THE NATURE OF FEELINGS:

- is breathlessness a pathology of feeling?
- is this of evolutionary advantage?

*‘Feelings are mental experiences of bodily states....constitute a crucial component of the mechanisms of life regulation...’*

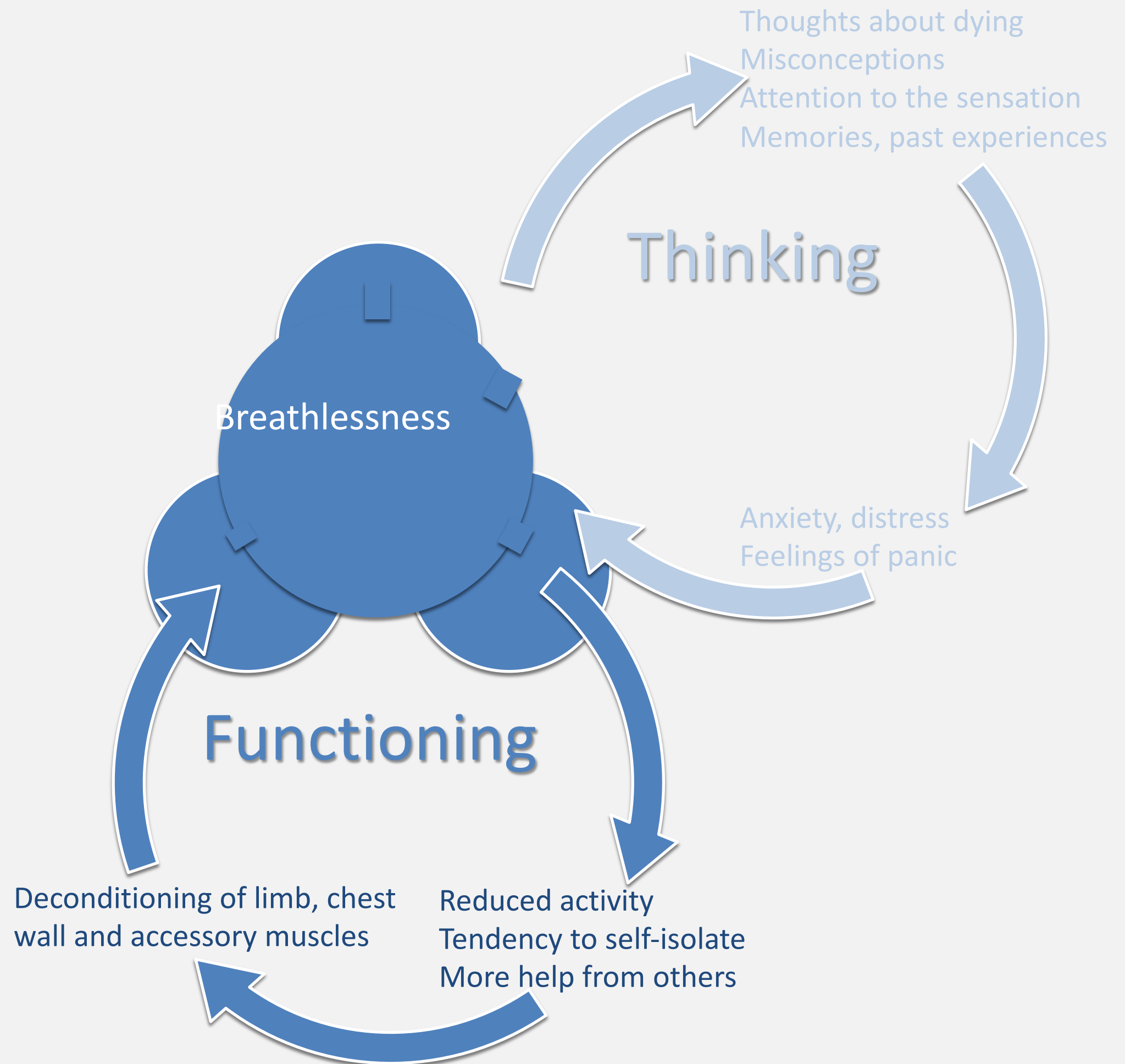
*Damasio and Carvalho, 2013*

# PATHOLOGY OF FEELING?

pain, hunger, thirst, dyspnoea - all subserved by in the same area of the cerebral cortex

‘Feelings emerge from older parts of the brain (limbic system)  
....addition of a felt experiential component to the basic somatic mapping ....has evolutionary advantage...’

Damasio & Carvalho 2013



HAS THERE BEEN A TRIGGERING  
EVENT?



# EPIODIC BREATHLESSNESS

- Episodic breathlessness Linde et al, 2018 Simon et al, 2012,
- Usually a trigger – emotion – panic
- Feel 'out of the blue' and therefore more threatening

Manage the trigger

## SAFE/SPECIAL PLACE

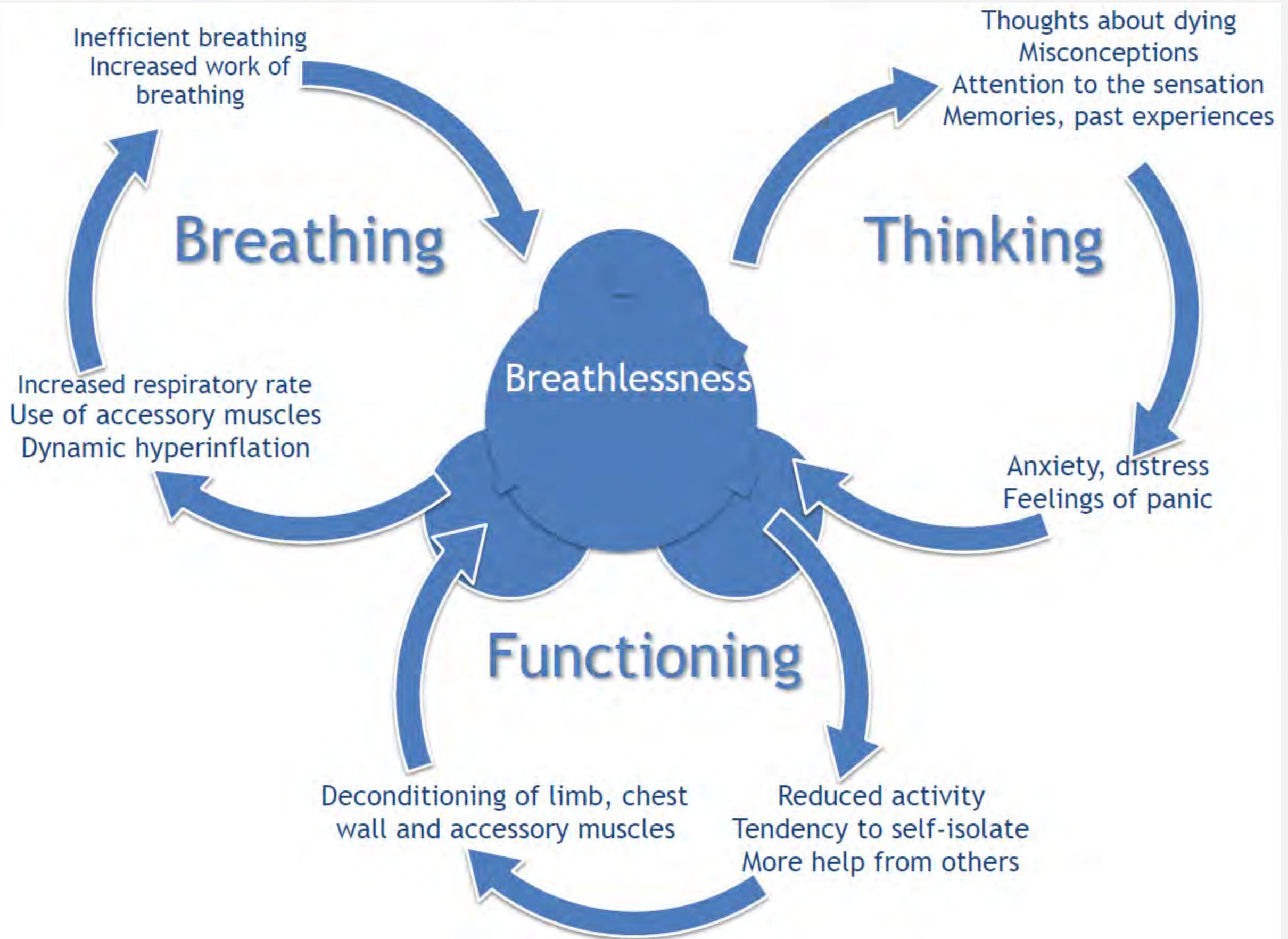
- Man in 60s, severe COPD
- Difficult childhood, very poor family, dreadful housing, violent father, city centre Glasgow
- Now very disabled, house bound
- Wife with Huntington's chorea
- One happy childhood memory was being Scouts/Boys brigade and going out camping
- Able to relieve SOB with use of special place

## FUTURE REHEARSAL

- Man in 70s, severe COPD becoming wheelchair bound
- Wife under severe strain
- Would be better for him to be as active as can

### **Action:**

Future rehearsal of walking in garden, using special place and allowing 'panic' to drain away.



## CHRONICITY, DIFFERENT FROM ACUTE DISEASE

- *anxiety reduction*
- *nutrition*
- *exercise*
- *social contacts*
- *preventing or early treatment of depression*
- *caring for carers*

# DRUG – FREE INTERVENTIONS

- Comprehensive, empathetic assessment including carers' needs, Booth *et al*, 2006, Farquhar *et al*, 2017
- Exercise – activity, pulmonary rehabilitation where possible, McCarthy *et al*, 2015, Cochrane
- Breathing exercises/retraining, Booth *et al*, 2018
- The hand-held fan, Galbraith *et al*, 2006, Lockett *et al*, 2017, Kako *et al*, 2018
- Assess/treat other symptoms – cough, fatigue; Yorke *et al*, 2017
- Pacing and prioritising, Booth *et al*, 2018
- Mobility Aids, Bausewein *et al*, 2008
- Increasing resilience Booth *et al*, 2018, 2019

# AN OFFICIAL AMERICAN THORACIC SOCIETY STATEMENT

Update on the mechanisms, assessment and management of dyspnea

‘Further research is needed in areas of  
***neuromodulation, neuroimaging*** ..associated  
***unpleasantness*** and affective distress...clinical  
translational studies...’

Parshall M.B., Schwartzstein R.M., Adams L. *et al.* *The American Journal of Respiratory and Critical Care Medicine*, (2012) **185**  
(4): 435-452.

## TEACHING HEART FAILURE PATIENTS HOW TO BREATHE

‘There are more ways to improve symptoms than stimulation of the failing organ, and the progress of disease in human beings is complex and multifactorial and offers multiple approaches to amelioration.’

Coats A J S

Lancet 1998; **351**: 1299 - 1300



# DISCUSSION

MULARSKI *ET AL*, 2009

‘....facilitating a less distressful interpreted experience of physical disorders....’

Mularski, 2009

# WEBSITE

**Breathlessness Intervention Service**

<http://www.cuh.org.uk/breathlessness>